



# CASH &/OR SECURITY JOURNAL REQUEST FORM

Instructions must be dated within 30 days from the date of receipt by Apex.

DATE	
------	--

## ACCOUNT INFORMATION

Delivering Account No.	
Delivering Account Name/Title	
Receiving Account No.	
Receiving Account Name/Title	

If the Receiving Account Title does not match the Delivering Account Title, the request will be considered a Third Party Transfer. Third Party Transfers must adhere to Apex's Third Party Policy.

## TRANSFER INFORMATION

Full Transfer of Cash & Securities? <small>(Accrued Interest will also be transferred)</small>	
Reason for Transfer	

For Partial Transfers, complete the following:

		Cash	\$		
	Symbol/CUSIP	Shares		Symbol/CUSIP	Shares
1			7		
2			8		
3			9		
4			10		
5			11		
6			12		

## CUSTOMER AUTHORIZATION

Customer Signature

Joint Account Holder

I/We agree to hold all parties acting on this request, including the introducing broker and Apex Clearing Corporation, and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request.

## NOTARY

Notary Seal:

Notary Signature

## FOR INTERNAL USE ONLY

Registered Principal Approval:

Print Name

Title

Signature

Date

Compliance Officer Approval/Registered Principal Approval

Print Name

Title

Signature

Date