## Apex Clearing Corp.

## IRA Journal Request Form and Deposit Slip

\*Requests to move funds out of an IRA account must use the IRA Distribution Form

\*This form should be used for funds coming into the Retirement Account

		Date:					
	Delivering Account Number						
	Delivering Account Na	me/Title					
	Receiving Account Number						
	Receiving Account Name/Title  If the Receiving Account Title does not match the Delivering Account Title, the request will be considered a Third Party Transfer. Third Party Transfers must adhere to Apex's Third Party Policy.						
	Full Transfer of Cash and Securities? Y/N  (Accrued Interest will also be transferred)						
	For Partial Transfers, complete the following:		Cash <u>\$</u>				
	Symbol/ CUSIP	Shares	Symbol/ CUSIP	Shares			
	Reason for Transfer: (Please Check One Box Box	elow)					
	Regular Contribution	Contribution for Tax Year(If left blank will be deposited for current year. Prior year contributions can only be made until April 15 <sup>th</sup> of each year.)					
1/2014	Employer Contribution	Contribution for Tax Year(If left blank will be deposited for current year.) Note: All SEP contributions are reported the year of deposit.					
PF10167-UCON 04/01/2014	Employee Contribution	Contribution for Tax Year(If left blank will be deposited for current year.)					
67-UC	Transfer	Transferred from an identical account type and or title.					
PF101	Rollover	Qualifying Direct Rollover fr	om my Employer's Plan ex. 4	401(k),403(b), Profit Sharing Plan, Money			

Purchase Pension Plan, etc or 60 Day Rollover. For 60 Day Rollover, the assets and account type match as they were distributed less than 60 days ago. Account Owner attests to the following: the funds deposited as an Irrevocable Qualifying Rollover do not contain any amounts from a Requi Minimum Distribution; that these funds are being deposited within the allowable sixty day time per and that the Account Owner is allowed only one rollover per twelve month period. Account owner agrees to be bound the election of this deposit as an Irrevocable Qualifying Rollover.				
Fee	This is to offset fees charged due to administrative fees charged by the trustee only.			
Customer Signature:				
Internal Use Only				
Notary Signature: Notary Seal:				
Registered Principal Ap	proval			
Name	Signature	Date	_	
Compliance Officer App	roval			
Name	Signature	Date	_	